



Assurance

Powered by Open Lake Technology

IPC

IPC's Assurance

Financial regulation is rapidly increasing, with many enforcement actions focusing on record-keeping and data management. Traditionally, customers managed their infrastructure and associated risks manually in-house. However, with the rise of cloud computing, many of these responsibilities are being outsourced to third-party providers. This shift has introduced a new wave of regulations with a technology and operational focus, such as DORA. Importantly, the ultimate risk remains fully with the customer, often with limited oversight and governance. IPC recognizes this as a significant barrier to cloud adoption.

IPC's Assurance is a suite of Automated tools that empower our customers with the controls necessary to ensure adherence with their compliance policies across 100% of their regulated interactions while facilitating successful cloud adoption.

Key benefits

1. Compliance Automated Processes (CAP)

- Ensures the quality, completeness, and accuracy of regulated interaction recordings.
- Enhances risk management, surveillance and audit readiness.

2. Policy Verification

- Detects mismatches in policies and user/system configuration.
- Improves oversight and verifies system-wide configuration.

3. Trade Floor System Check (TFSC)

- Verifies Trader Voice system readiness with end-to-end system testing.
- Strengthens compliance & enhances operational readiness.

Why Choose IPC's Assurance Services?

IPC delivers a future-ready approach to assured communications compliance and optimised telephony and voice recording system performance.

- **IPC delivers a future-ready approach** to assured communications compliance and optimised telephony and voice recording system performance.
- **Centralised, streamlined compliance:** Simplify workflows across platforms.
- **Real-time insights:** Respond faster to incidents with active monitoring.
- **Customisable dashboards:** Tailor insights to meet your unique needs.
- **Enhanced audit readiness:** Automate and streamline reporting.
- **Operational efficiency:** Minimise risks, reduce costs, improve customer satisfaction.

Empower your organisation with cutting-edge communications compliance technologies that meet today's needs and tomorrow's challenges.



Contact our Sales team here:



About IPC

A specialist technology and service leader powering global financial markets for over 50 years, IPC Systems is at the forefront of electronic trading connectivity and state-of-the-art cloud communications, setting the standard for exceptional service, innovation, and expertise. IPC's customer-first approach is bolstered by an extensive and diverse financial ecosystem that spans all asset classes and connects market participants anywhere in the world for enhanced communication, collaboration, and compliance.

About Open Lake Technology

Open Lake Technology is a leading provider of intelligent automation solutions tailored for trading and financial services firms worldwide. We specialize in driving digital transformation through advanced software and systems integration—empowering our clients to streamline operations, enhance regulatory compliance, and improve data-driven decision-making. With deep expertise in compliance technology, communications infrastructure, cloud computing, and analytics, Open Lake Technology delivers innovative, scalable solutions that help financial institutions optimize performance and stay ahead in a competitive landscape.

To learn more, visit www.open-lake.com



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